




International Centre for Collective Action

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1. Basel Institute on Governance?


- Link between practice and academia
- Link between public and private
 - International (15 nationalities with 25 staff; presently working on country projects in 25 countries and 3 regional initiatives)
- Multidisciplinary (law, economics, political sciences, sociology/social anthropology)
- Expertise
 - Financial investigations, asset tracing, recovery of stolen assets, International Centre for Asset Recovery (ICAR)
 - Corruption prevention, public sector governance
 - Anti-bribery, compliance and business ethics
 - Policies/standards: **International Centre for Collective Action (ICCA)**



2. What is collective action?

- Prisoners dilemma
- Corruption a typical collective action problem
- Has the potential to neutralize the free-rider problem
- Purpose: Way out of the dilemma that companies face when dealing with bribery/solicitation/enforcement risk vs. business risk
- Underlying concept: Act jointly among businesses, and between businesses and public sector

Page 3



3. Examples and typologies of collective action

- Macro-level:
 - Global: Declarations and global principles (e.g. PAO, UN Business Principles, ICC)
 - Industry-level: Industry initiatives and self-regulation initiatives (e.g. Wolfsberg Group on Money Laundering, defence industry)
 - Country-level or sector-level: High Level Reporting Mechanism (Colombia) or Social Monitoring and oversight (Mexico)
- Micro-level:
 - Professional / technical standards of Integrity, Integrity Pacts, example Poincaré facility on political initiative
 - Mutual assistance to whistleblowers hotlines

Page 4



4. Difficulties

- Trust among competitors
- Anti-trust considerations
- "Pogue" companies, local enterprises, SMEs, local competition
- Front line KPIs
- Initiated and moderated by neutral institutions
- Time
- Clearly defined roles and roles, small groups
- Market power
- Communication with government authorities
- Management commitment



5. International Centre for Collective Action

- Objective: A global umbrella / knowledge hub for worldwide collective action initiatives and research
- Activities:
 - Collect and make available information on collective actions
 - Analyse and academically research existing collective action initiatives, underlying causes of success and failure
 - Develop typologies, guidelines and good practice examples
 - Offer a platform for exercises and to connect partners, to organise cross-sector communication and regular expert and practitioners meetings
 - Make available the best practice experience for initiating new or facilitating existing collective action initiatives
- Structure:
 - Institutional partners (Institute on Governance, IACA, TRACE, ICAEW, ICA, International, Universities, etc.)
 - Corporate members